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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Alma Leilani **MARRERO TERRERO** and  
Rolando Orestes **CONTRERAS ALARCON**

Confirmation No. **9236**

Application No.: 10/536,588 ✓

Filed: May 25, 2005

For: **METHOD OF PREPARING DENDRONS AND DENDRIMERS WITH  
HETEROCYCLIC SUBSTRUCTURES OF IMINO-ETHERS AND DERIVATIVES  
USING NON-STANDARD MEANS AND MICROWAVES**

Attorney Docket No.: **4082.001**

Customer No.: **000058152**

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98**

**Mail Stop**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application where cited by the Examiner during the Preliminary Search Report (copy attached). Copies of the documents set forth below and listed on the attached Form PTO/SB/08A.

1. WO 01/47631
2. WO 02/29076
3. WO 96/02588
4. Data Base WPI DERWENT AN 1998-174845 (16) & JP 10036294  
A (Agency of IND SCI & TECHNOLOGY) 10.02.1998 ABSTRACT

**Document 1**

Document 1 is an English language document. This document was cited during the Preliminary Search Report as A type reference (document defining the general state of the art).

**Document 2**

Document 2 is an English language document. This document was cited during the Preliminary Search Report as A type reference (document defining the general state of the art).

**Documents 3**

Document 3 is in the English language. This document was cited during the Preliminary Search Report as A type reference (document defining the general state of the art).

**Documents 4**

Document 4 is in the English language. This document was cited during the Preliminary Search Report as X type reference (document of particular relevance).

The present Information Disclosure Statement is being filed after three months from the application's filing date but before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

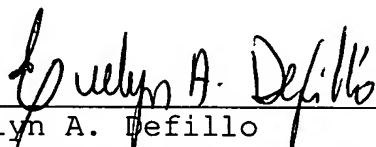
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against

the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Respectfully submitted,

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Date: June 16, 2006

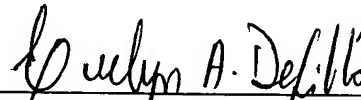
U.S. PATENT APPLICATION No. 10/536,588  
INFORMATION DISCLOSURE STATEMENT

DOCKET No. 4082.001



CERTIFICATE OF MAILING

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT, Form PTO/SB/08A, and 4 references for U.S. Patent Application No. 10/536,588 filed May 26, 2005, were deposited in first class U.S. mail, with sufficient postage, addressed: Attn: Mail Stop -----, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450 on June 16, 2006.

  
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Evelyn A. Defillo

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete If Known**

Application Number	10/536,588
Filing Date	May 26, 2005
First Named Inventor	Alma Leilani Marrero Terrero et al.
Art Unit	
Examiner Name	
Attorney Docket No.	4082.001

Sheet 1 of 2

(use as many sheets as necessary)

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			
		US-			

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Data Base WPI DERWENT AN 1998-174845 (16) & JP 10036294 A (Agency of IND SCI & TECHNOLOGY) 10.02.1998 ABSTRACT	X

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		WO 01/47631	07/05/2001	Sklyarevich		X
		WO 02/29076	04/11/2001	Martin		X
		WO 96/02588	02/01/1996	Jacobs		X

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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